



161

1644

Serial No.: 09/629,719  
Attorney Docket No.: 506274-0037

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent Owner : The University of Kansas  
Serial No. : 09/629,719  
Filed Date : August 1, 2000  
Title : LEUKOCYTE INTERNALIZED PEPTIDE DRUG  
CONJUGATES  
Group/Art Unit : 1644  
Examiner : M.H. Haddad  
Atty. Docket No. : 506274-0037

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST  
(REVOCATION OF PRIOR POWERS)**

As assignee of record of the above-identified application:

**REVOCATION OF PRIOR POWERS OF ATTORNEY**

all powers of attorney previously given are hereby revoked; and

**NEW POWER OF ATTORNEY**

I hereby appoint the patent practitioners associated with Customer Number:

**27910**

namely, Stinson Morrison Hecker LLP, 1201 Walnut, Suite 2900, Kansas City, Missouri 64106-2150, telephone (816) 842-8600, as my attorneys, with full power of substitution, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent Office connected therewith in my behalf.

**Certificate of Mailing Under 37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

Date: Jan. 20, 2006

Signature: Lora Gurdley

Printed Name: Lora Gurdley

DB02 506274.0001 6931839.1

The Director is hereby authorized to charge any additional amount required, or credit any overpayment, to Deposit Account No. 19-4409.

Direct all telephone calls to: **Lana M. Knedlik**, Telephone (816) 842-8600  
Facsimile (816) 691-3495

Assignment recorded in application Serial No. 09/629,719:

- ☒ Assignment from Teruna J. Siahaan, Helena Yusuf-Makagiansar, Meagan Anderson and Rong "Christine" Xu to The University of Kansas recorded in the Patent and Trademark Office on August 1, 2000 at Reel 011040, Frame 0040.
- ☐ Recorded herewith.
- ☐ Filed for recordation in the Patent and Trademark Office on [Date]. The Notice of Recordation has not yet been received.

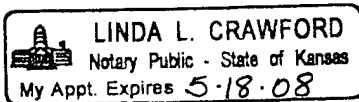
**ASSIGNEE STATEMENT**

Attached to this power is a "STATEMENT UNDER 37 C.F.R. § 3.73(b)."

Signed this 4<sup>th</sup> day of January, ~~2005~~ <sup>2006</sup> 8<sup>th</sup>

STATE of Kansas  
County of Douglas

**THE UNIVERSITY OF KANSAS**



Signed: George S. Wilson  
Name: GEORGE S. WILSON  
Title: Vice President KUCR

Signed or attested before me on  
1/4/06.

Linda L. Crawford  
Notary



Serial No.: 09/629,719  
Attorney Docket No.: 506274-0037

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT UNDER 37 C.F.R. § 3.73(b) –  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

The University of Kansas ("Assignee"), an educational institution organized under the laws of the state of Kansas, states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ Assignments from the inventor, a copy of which is attached.

AND/OR

- B. ☐ A chain of title from the inventors of the patent application/patent identified above to the current assignee as shown below.

**Certificate of Mailing Under 37 C.F.R. 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on:

Date: Jan. 20, 2006

Signature: Lora Gurtney

Printed Name: Lora Gurtney

DB02 506274.0001 6931839.1

The Director is hereby authorized to charge any additional amount required, or credit any overpayment, to Deposit Account No. 19-4409.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Signed this 23 day of December, 2005.

**THE UNIVERSITY OF KANSAS**

Signed: George S. Wilson

Name: GEORGE S. WILSON

Title: Assoc. V. Prov. Research, V.P. KU CR, Inc.



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

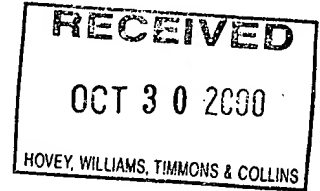
OCTOBER 20, 2000

PTAS

HOVEY, WILLIAMS, TIMMONS & COLLINS  
TRACEY S. TRUITT  
2405 GRAND BLVD., SUITE 400  
KANSAS CITY, MO 64108



\*101451298A\*



UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/01/2000

REEL/FRAME: 011040/0040  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SIAHAAN, TERUNA J.

DOC DATE: 07/18/2000

ASSIGNOR:

YUSUF-MAKAGIANSAR, HELENA

DOC DATE: 07/18/2000

ASSIGNOR:

ANDERSON, MEAGAN

DOC DATE: 07/18/2000

ASSIGNOR:

XU, RONG "CHRISTINE"

DOC DATE: 07/18/2000

ASSIGNEE:

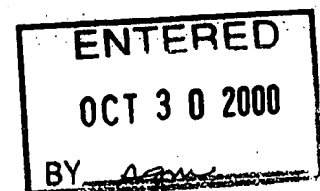
UNIVERSITY OF KANSAS, THE  
STRONG HALL  
LAWRECE, KANSAS 66044

SERIAL NUMBER: 09629719

PATENT NUMBER:

FILING DATE: 08/01/2000

ISSUE DATE:



011040/0040 PAGE 2

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

09-07-2000

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office



Y

Tab settings

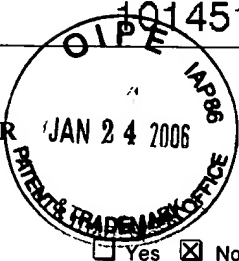
To the Honorable Commissioner of

101451298

and the attached original documents or copy thereof.

1. Name of conveying party(ies):

TERUNA J. SIAHAAN  
HELENA YUSUF-MAKAGIANSAR  
MEAGAN ANDERSON  
RONG "CHRISTINE" XU



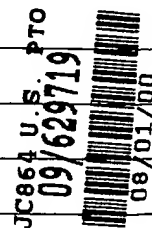
Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: THE UNIVERSITY OF KANSAS

Address: Strong Hall



City: Lawrence

State/Prov.: KS

Country: USA

ZIP: 66044

Additional name(s) & address(es)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: July 18, 2000

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: July 18, 2000

Patent Application No.

Filing date

B. Patent No.(s)

09629719

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Tracey S. Truitt

Registration No. 43,205

Address: Hovey, Williams, Timmons & Collins

2405 Grand Blvd., Suite 400

City: Kansas City State/Prov.: MO

Country: USA ZIP: 64108

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

19-0522

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Tracey S. Truitt

Name of Person Signing

Tracey Truitt

Signature

7/31/00

4

Date

Total number of pages including cover sheet, attachments, and

09/07/2000 JSHWAZZ 00000069 09629719

01 FC:581

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## ASSIGNMENT

WHEREAS, WE, TERUNA J. SIAHAAN of 2912 Iris Lane, Lawrence, Kansas 66047, HELENA YUSUF-MAKAGIANSAR of E206 Cornish Square, Lawrence, Kansas 66049, MEAGAN ANDERSON of 740 N. Clinton, Olathe, Kansas 66061 and RONG "CHRISTINE" XU of 2350 Ridge Court Apartment 21, Lawrence, Kansas 66046 have jointly invented certain new and useful improvements in

### CELL INTERNALIZED PEPTIDE-DRUG CONJUGATES

for which we are about to make application for Letters Patent of the United States; and

WHEREAS, The University of Kansas, an educational institution duly organized under the laws of the State of Kansas, and having its principal place of business at Strong Hall, Lawrence, Kansas 66044, is desirous of acquiring an interest in, to and under said invention, said application and any and all Letters Patent which may be granted for or upon said invention in the United States of America and all countries foreign thereto.

NOW, THEREFORE, to all whom it may concern be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledge, WE, TERUNA J. SIAHAAN, HELENA YUSUF-MAKAGIANSAR, MEAGAN ANDERSON and RONG "CHRISTINE" XU, as co-inventors, have sold, assigned and transferred, and by these presents do sell, assign and transfer unto The University of Kansas, the full and exclusive right, title and interest, throughout the world, in, to and under the following:

- (a) said invention as fully set forth and described in the specification prepared, and executed by each of such as co-inventors on even date herewith preparatory to obtaining Letters Patent of the United States therefor;
- (b) said application;
- (c) any and all refilings and continuations of said application;

(d) any and all Letters Patent of the United States of America which may issue from said application, refilings, division and continuations;

(e) any and all reissues of said Letters Patent of the United States of America;

(f) any and all applications for Letters Patent upon said inventing which may hereafter be filed in any and all countries foreign to the United States of America;


(g) any and all refilings, divisions and continuations of said foreign-filed applications;

(h) any and all Letters Patent of countries foreign to the United States of America which may issue from the said foreign-filed applications, refilings, divisions and continuations; and

(i) any and all extension of, and additions to, said Letters Patent of countries foreign to the United States of America.

ALL of the above shall be held and enjoyed by said University of Kansas, Inc. for its own use and behoof, and for its successors, legal representatives and assigns, to the full end of the term for which said Letters Patent may be granted, and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent in accordance with this Assignment.

Executed this 18<sup>th</sup> day of July, 2000.

  
TERUNA J. SIAHAAN

  
HELENA YUSUF-MAKAGIANSAR

Meagan Anderson  
MEAGAN ANDERSON

Rong "Christine" Xu  
RONG "CHRISTINE" XU 07/18/2000

STATE OF )  
 ) SS.  
COUNTY OF )

On this 18<sup>th</sup> day of July, 2000, before me personally appeared  
TERUNA J. SIAHAAN, HELENA YUSUF-MAKAGIANSAR, MEAGAN ANDERSON, AND  
RONG "CHRISTINE" XU, to me known to be the persons described in and who executed the  
foregoing instrument, and they duly acknowledged to me that they executed the same for the  
uses and purposes therein set forth.

Pamela J. Heimerich  
Notary Public

My Commission Expires:

1/15/02

